



# BEHAVIOR, ENERGY & CLIMATE CHANGE CONFERENCE

*A conference focused on understanding the behavior and decision-making of individuals and organizations and on using that knowledge to accelerate our transition to an energy-efficient and low-carbon future*

convened by



## OCCUPANT BEHAVIOR OF WINDOW OPENING AND CLOSING IN OFFICE BUILDINGS: DATA MINING APPROACHES

**Simona D'Oca**

December 8<sup>th</sup>, Washington DC



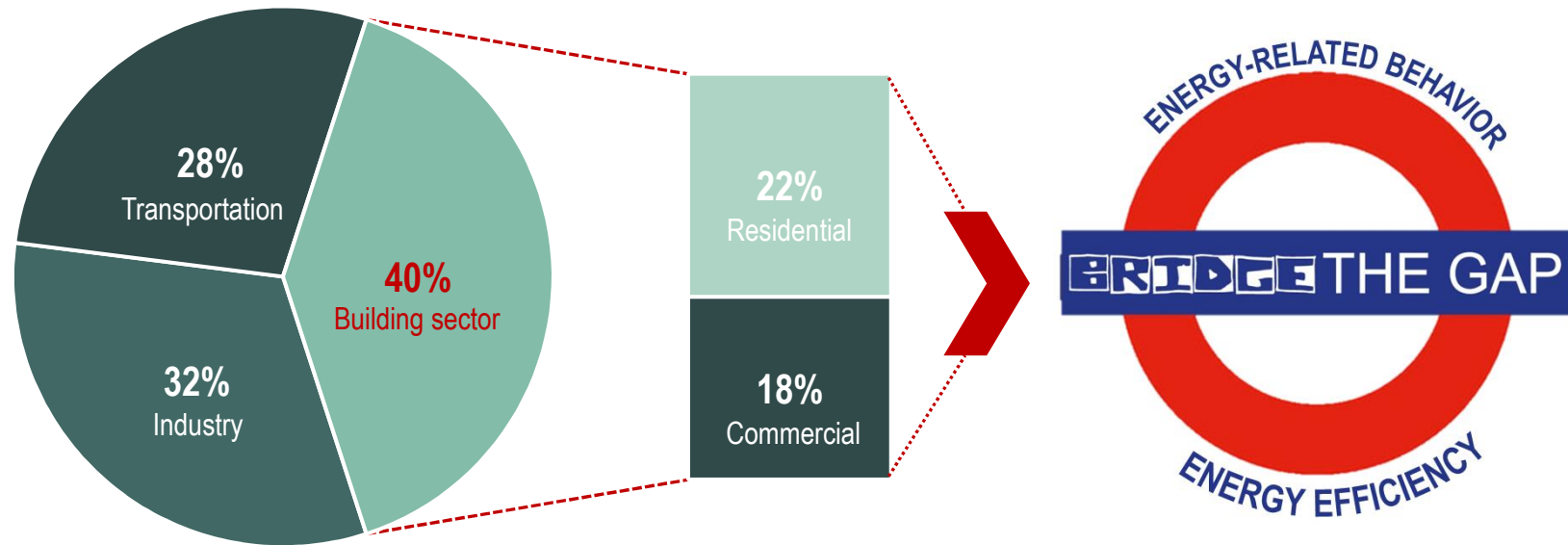
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# THE CREDIBILITY GAP OF BUILDING ENERGY CONSUMPTION

## The US Energy Big Picture



US Energy Information Administration (EIA 2013)

“the loss of credibility when design expectations of **energy efficiency** and **actual building consumption** outcomes differ substantially.

*Bordass et al., 2004*



# THE CREDIBILITY GAP OF BUILDING ENERGY CONSUMPTION

## ENERGY PERFORMANCE



REAL



PREDICTED



STOCHASTIC



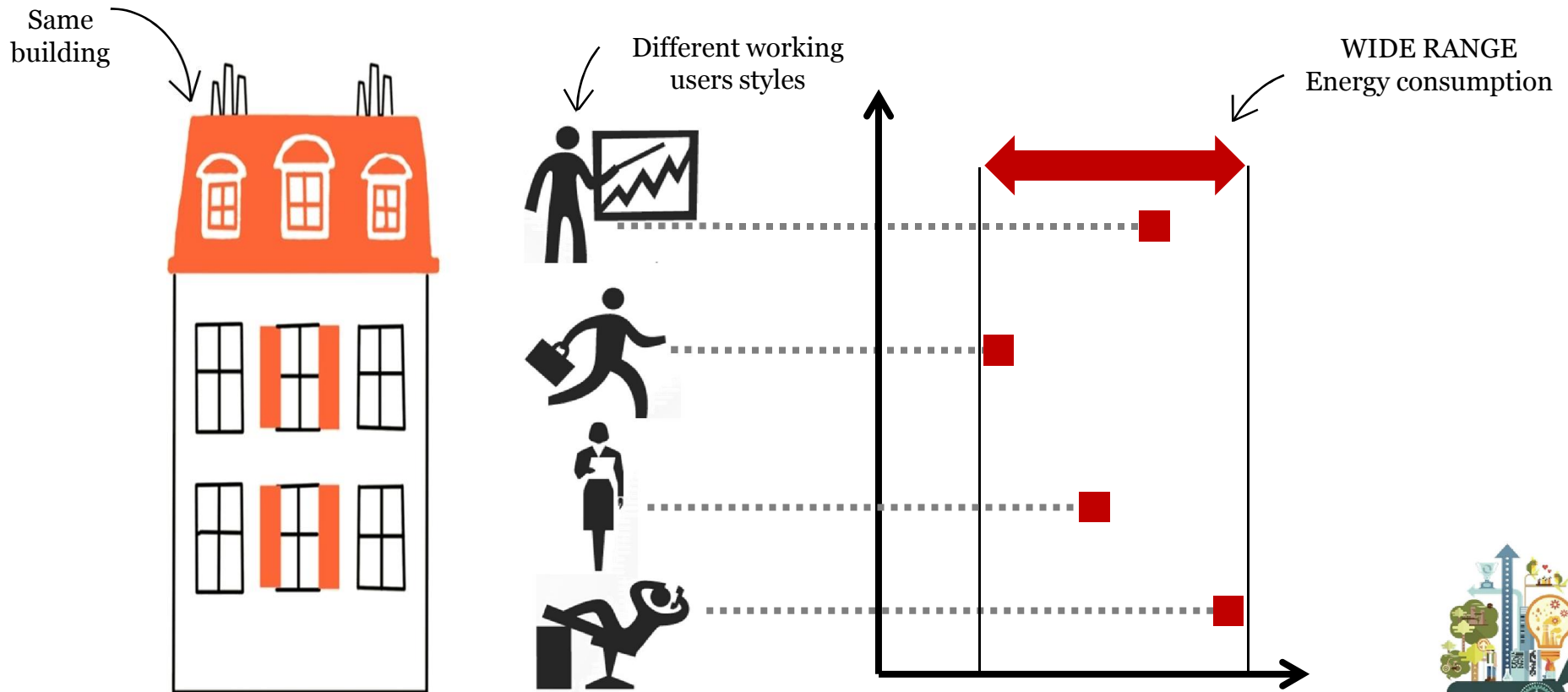
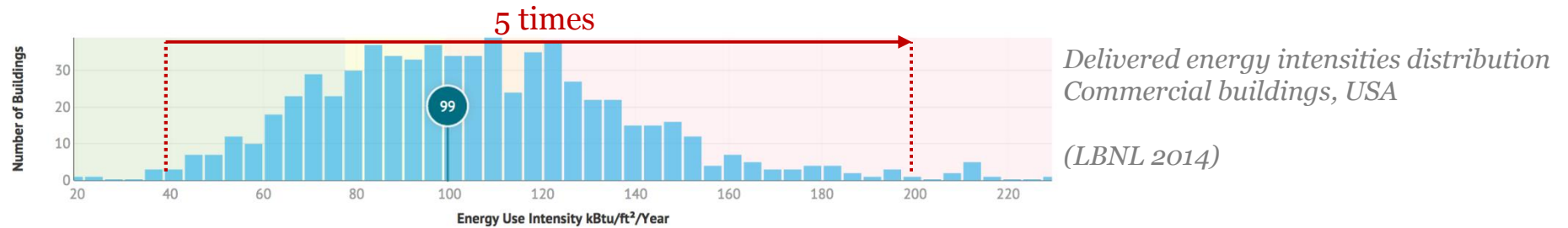
Lack of knowledge

[...] credibility gaps arise because the **assumptions** often used are not well enough informed by **what really happens** in practice.”

*Bordass et al., 2004*



# THE CREDIBILITY GAP OF BUILDING ENERGY CONSUMPTION



## DATA MINING APPROACHES

Techniques that take advantage of large-scale data sets to detect **patterns of behavior**

**Information** extraction from data (***classification techniques***)

Provide **knowledge** about structure and interrelation among data (***association techniques***)

Create **models** predicting future events (***decision trees***)

### Some applications:

**Market sales:** actionable associations between buying diapers and beer on thursdays (product placement)  
*Witten and Frank (2005)*

**Telecommunication companies:** identify clients needs and trends related to household characteristics  
*McCarty (1997)*

**Political campaign operatives:** identify potential supporters by datamining of database of existing voters  
*Esdall (2006)*



**Patterns of energy  
related behavior?**



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## DATA SET

Bank office building  
(Frankfurt, Germany)



16 offices (W, E oriented)  
Single/double occupancy



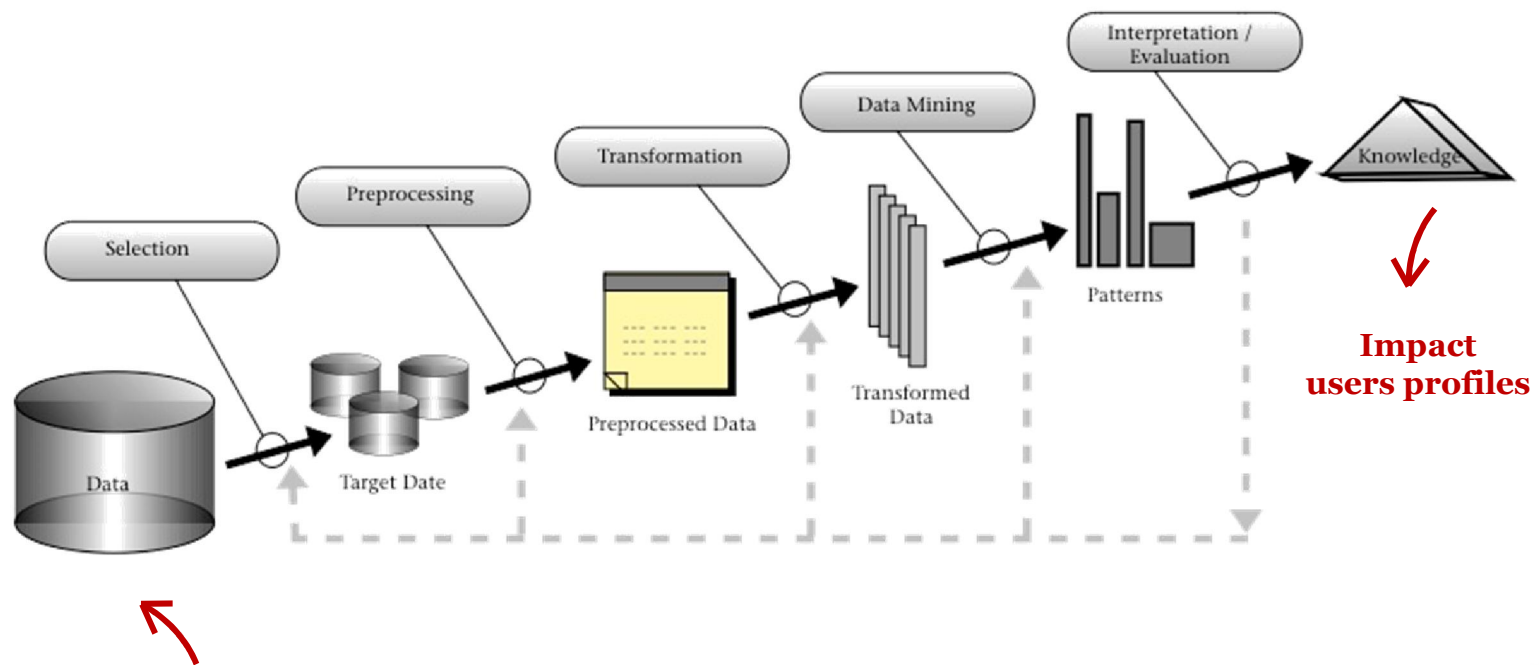
Monitoring aspects	Parameters	Interval
Climate	Outdoor air temperature, outdoor humidity, wind speed, solar radiance	10 min
Operation & Maintenance	Monitoring of heating, cooling, lighting and ventilation system, and related energy flows	10 min
Indoor environmental quality	Indoor (operative) temperature, humidity, (CO <sub>2</sub> )	10 min
Occupants' activities and behavior	<b>Window state (open/closed)</b> <b>Presence</b>	10 min

2 years data



## DATA MINING APPROACHES

### KNOWLEDGE DISCOVERY IN DATABASE (KDD)



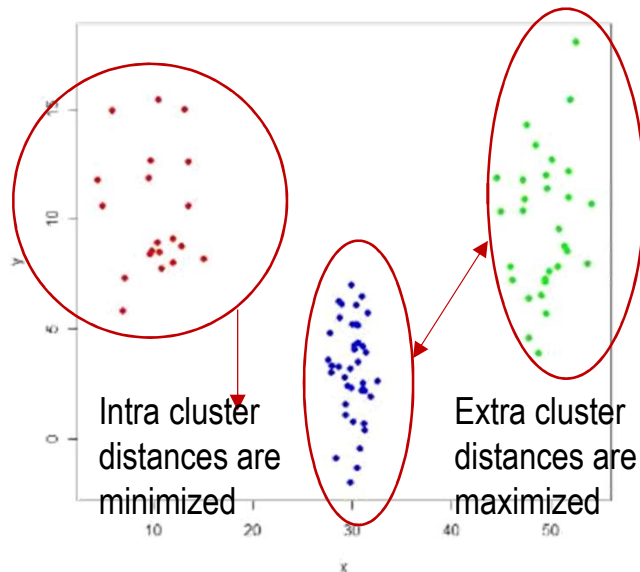
More than **1 million data points** for each office



## DATA MINING APPROACHES

### STEP 1 CLUSTER ANALYSIS K-MEANS ALGORITHM

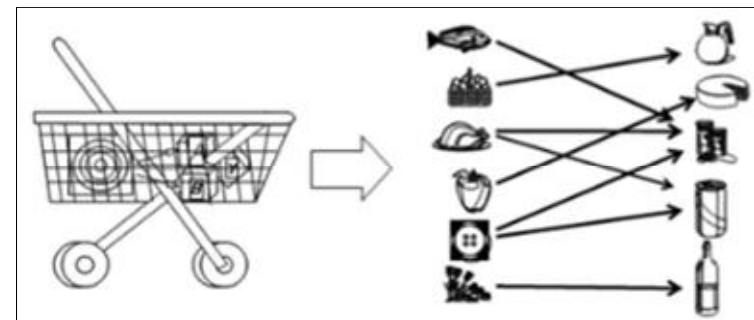
Grouping data objects into **clusters**  
objects in the same cluster have high similarity,  
objects in different clusters have low similarity.



PATTERNS  
OF BEHAVIOR

### STEP 2 ASSOCIATION RULE MINING FP-GROWTH ALGORITHM

**Associations** and **correlations** (*rules*)  
between attributes of the same dataset  
based on information obtained from cluster analysis



THE MARKET BASKET ANALYSIS

WORKING  
USER PROFILES





## DATA MINING FRAMEWORK

*STEP 1*  
**CLUSTER ANALYSIS**  
k-means algorithm

### **PATTERNS OF BEHAVIOR**

Improvement of the notion of behavioral patterns not only as statistical relevant clusters

Incorporating the motivational dimension with typical window opening habits, preferences and attitudes.

Patterns of behavior	Mined parameters	Subset data
Motivational	Window opening/closing drivers	Influencing variables
Opening duration	Window state	h window open or close/day
Interactivity	Window changes	n changes/day
Position	Window degree of opening	tilting angle (from 0 to 1)



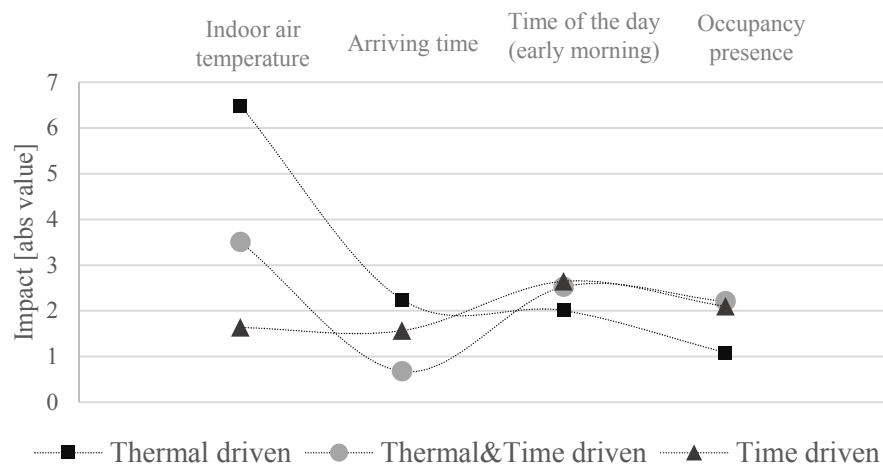
# DATA MINING FRAMEWORK

**STEP 1**  
**CLUSTER ANALYSIS**  
k-means algorithm

## PATTERNS OF BEHAVIOR

Patterns of behavior	Mined parameters	Subset data
Motivational	Window opening/closing drivers	Influencing variables

Top 4 factors influencing window opening

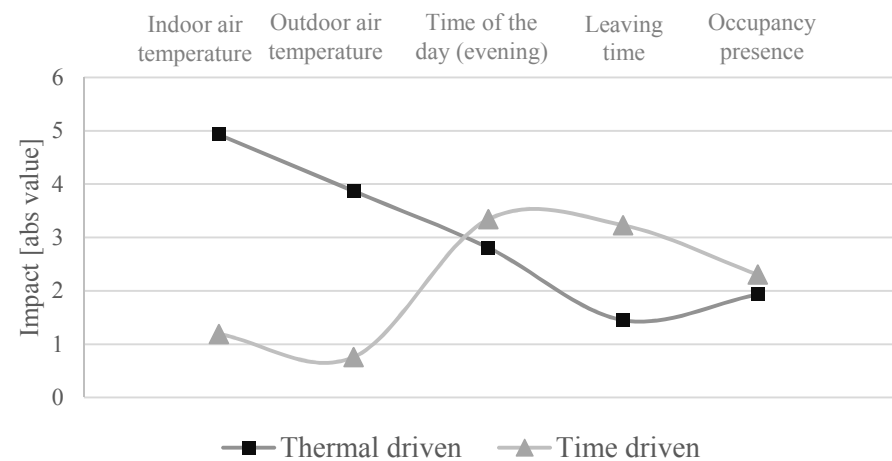


**OPENING  
CLUSTER 1**

**OPENING  
CLUSTER 2**

**OPENING  
CLUSTER 3**

Top 5 factors influencing window closing



**CLOSING  
CLUSTER 1**

**CLOSING  
CLUSTER 2**

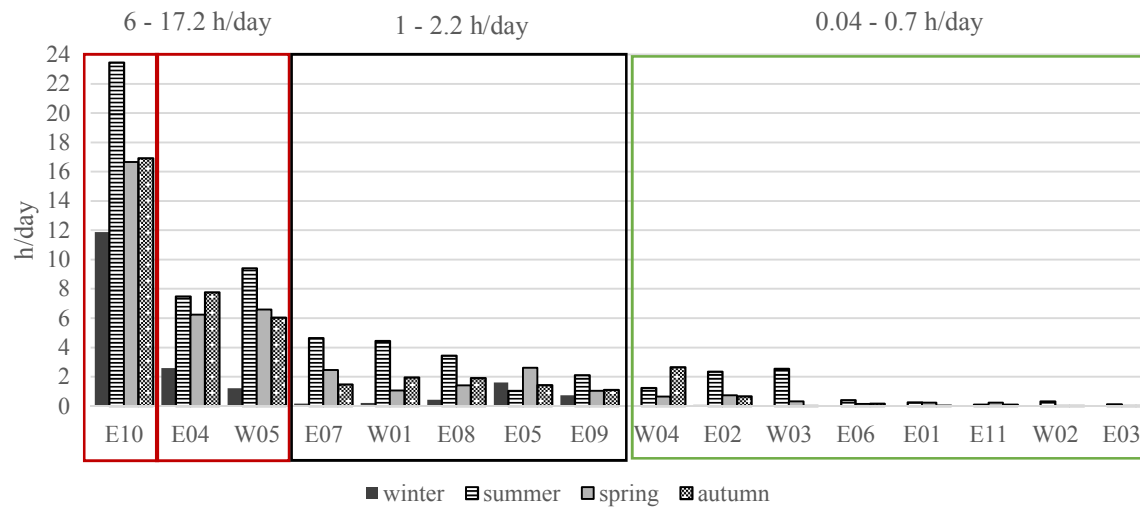


# DATA MINING FRAMEWORK

**STEP 1**  
**CLUSTER ANALYSIS**  
k-means algorithm

## PATTERNS OF BEHAVIOR

Patterns of behavior	Mined parameters	Subset data
Opening duration	Window state	h window open or close/day



**LONG  
OPENING**

**MEDIUM  
OPENING**

**SHORT  
OPENING**



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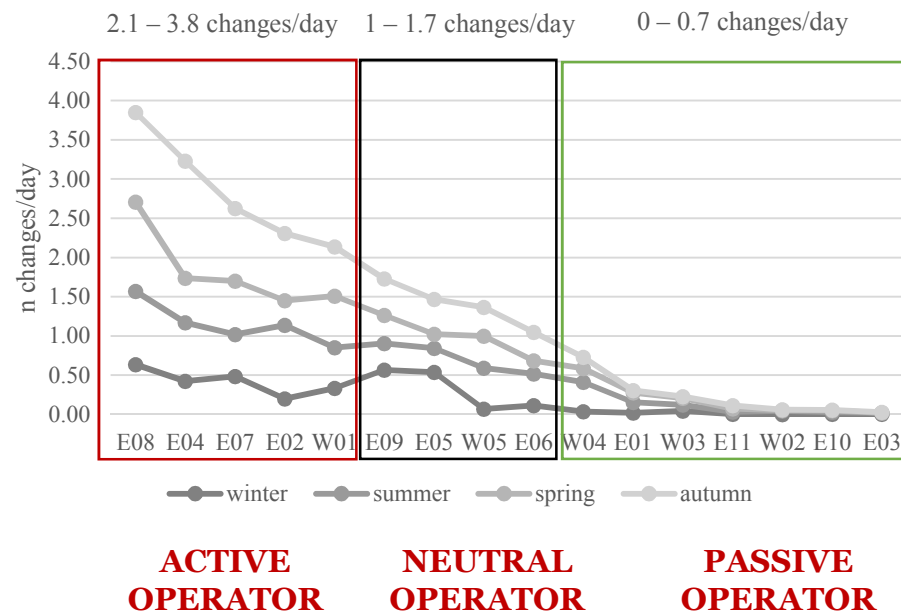


# DATA MINING FRAMEWORK

**STEP 1**  
**CLUSTER ANALYSIS**  
k-means algorithm

## PATTERNS OF BEHAVIOR

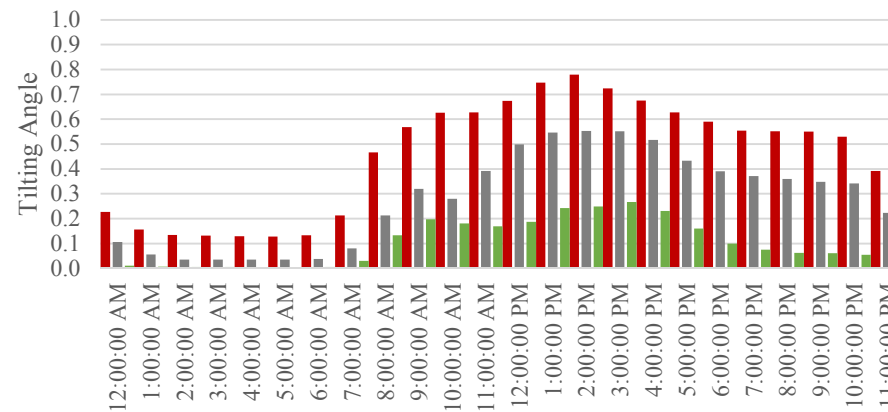
Patterns of behavior	Mined parameters	Subset data
Interactivity	Window changes	n changes/day



# DATA MINING FRAMEWORK

*STEP 1*  
**CLUSTER ANALYSIS**  
k-means algorithm

## PATTERNS OF BEHAVIOR



**BIG  
OPENING**

**INTERMEDIATE  
OPENING**

**SMALL  
OPENING**



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# DATA MINING FRAMEWORK

## ASSOCIATION RULES MINING

### STEP 2 ASSOCIATION RULES MINING

### WORKING USER PROFILES

Find unsuspected relationships and summarize the data in novel ways

Extract frequent correlations from patterns of behavior

	Motivational		Duration	Interactivity	Position
Office	window opening	window closing	window state	window change	window tilting angle
E01	thermal driven	thermal driven	short openings	passive operation	small openings
E02	thermal/time driven	thermal driven	short openings	active operation	small openings
E03	thermal driven	thermal driven	short openings	passive operation	small openings
E04	thermal driven	thermal driven	long openings	active operation	big openings
E05	thermal/time driven	time driven	medium openings	neutral operation	intermediate openings
E06	time driven	time driven	short openings	neutral operation	small openings
E07	time driven	time driven	medium openings	active operation	intermediate openings
E08	time driven	time driven	medium openings	active operation	intermediate openings
E09	thermal/time driven	thermal driven	medium openings	neutral operation	small openings
E10	time driven	time driven	long openings*	passive operation	big openings*
E11	thermal driven	thermal driven	short openings	passive operation	small openings
W01	time driven	time driven	medium openings	active operation	intermediate openings
W02	thermal driven	thermal driven	short openings	passive operation	small openings
W03	time driven	time driven	short openings	passive operation	small openings
W04	thermal/time driven	time driven	short openings	passive operation	intermediate openings
W05	thermal/time driven	time driven	long openings	neutral operation	big openings



# DATA MINING FRAMEWORK

## ASSOCIATION RULES MINING

### STEP 2 ASSOCIATION RULES MINING

### WORKING USER PROFILES

Find unsuspected relationships and summarize the data in novel ways

Extract frequent correlations from patterns of behavior

**80%**

**20%**

Patterns of behavior	User $\alpha$	User $\beta$
Motivational	physical environmental driven	contextual driven
Opening duration	short periods (0.04 - 0.7 hours/day)	long periods (1.0 - 2.2 hours per day)
Interactivity	infrequently (0 - 0.7 times per day)	frequently (1 - 1.7 times per day)
Position	small openings (< 0.3 degree of tilting angle)	intermediate openings (< 0.6 degree of tilting angle)

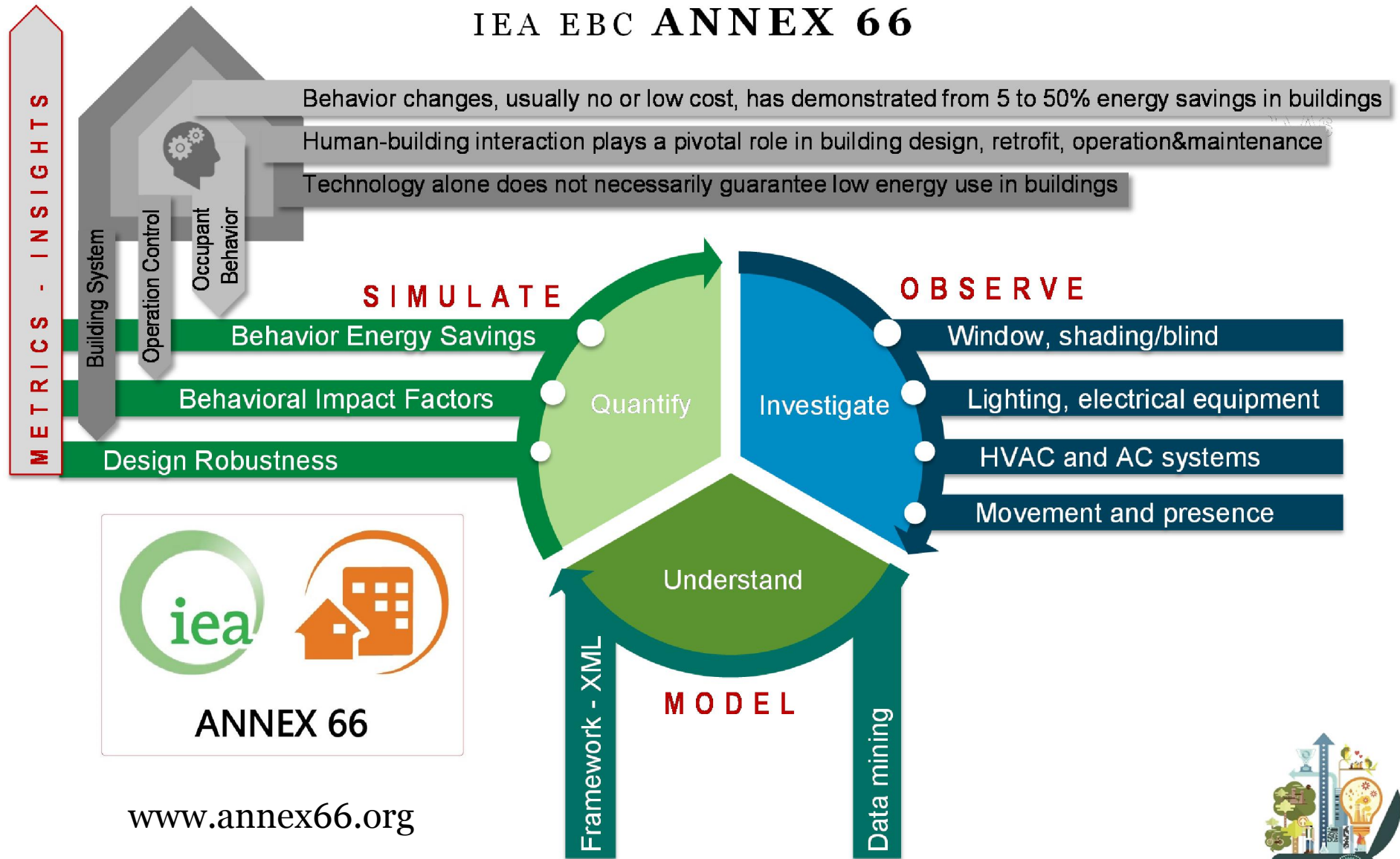


## CONCLUSIONS

1. automatically extrapolate **fast legible, valid, novel** occupancy patterns from big data streams
2. key intermediate to visualize **behavioral patterns** in (big) energy data
3. provide accurate assumption of actual **natural ventilation scenarios** in office buildings
4. quantify the **energy/economic impacts** of diverse ventilation scenarios on energy use in a building
5. quantify **motivational drivers, habits, preferences and attitudes** on office building
6. deliver a set of **behavioral rules** at the office level to direct specific **energy saving strategies**
7. allow building designers, operator, manager to **tailor efficient** and **robust** system and building envelope **control strategies** and **design**



# IEA EBC ANNEX 66



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